



Disclosures

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The information in this web site is based on data gathered from what we believe are reliable sources. It is not guaranteed as to accuracy, and does not purport to be complete and is not intended as the primary basis for investment decisions. It should also not be construed as advice meeting the particular investment needs of any investor.

Privacy Statement to Our Clients

As our client, you have trusted us to help you achieve financial success and security. To maintain that trust, we are committed to protect the privacy and security of the nonpublic personal information that we collect about you. This notice is intended to help you understand how we collect, handle, and safeguard this information.

General Privacy Principles

We treat the nonpublic personal information of our clients in a confidential manner. We do not provide any information to unrelated individuals or companies for the purpose of marketing their products or services to our clients. **We do not sell your personal information to anyone.**

Information We Collect

We collect and maintain nonpublic personal information to service and administer our client relationships and transactions. The type of information we collect depends on the type of product or service being provided. The types of information that we may collect include:

- Information that we obtain from you to complete an application or other form, such as name, address, social security number, or income.
- Information about your transactions with us or with other entities, such as brokerages, banks, and custodians with whom you hold accounts. This information includes account numbers, holdings, balances, transaction history, and other financial and investment activities.
- Medical or health information that a client authorizes us to receive from doctors or other health care providers and medical vendors.
- Financial information that facilitates estate, retirement or financial planning.

Use and Disclosure of Information

We disclose information you provide to third parties to facilitate opening an account for you. Only information required by these entities to open your account is disclosed. We may also disclose nonpublic personal information to third parties when necessary to complete a transaction in your account, such as with the clearing firm or account custodian; when required to maintain or service the account; to resolve customer disputes; and when requested by a fiduciary or beneficiary on the account. Under the Securities and Exchange Commission rule, (Regulation SP) that became effective on November 13, 2000, these entities are also under a specific contractual obligation to maintain your privacy and data and to disclose to you their client privacy policy. In addition, these entities are required to give you an opt-out right if you choose to not have your nonpublic personal information disclosed to nonaffiliated third parties.

Additionally, we may disclose nonpublic personal information to our attorneys, accountants, or consultants; when required by a regulatory agency, or for other reasons required or permitted by law; in connection with a sale or

merger of Moler Capital Management's business; and in any circumstance that has your instruction or consent.
Medical and Health Information

We may receive medical or health information on an application for insurance. We do not share this information with anyone other than the company requiring this information to process an application and/or claim. This information is necessary to provide service to you and will not be available unless authorized by you in writing.

Financial Information

We continuously strive to provide our clients with the best possible service. To that end, we have provided you with certain information for tax purposes, etc. In addition to information provided to you, we have, upon request, provided your tax preparer with information needed to complete your tax returns. That information will no longer be made available to the tax-preparer unless we have **in writing** authorization from you to do so.

Cookies

We will not use, collect, or store internet cookies during your visit.

Security of Your Information

We have established policies to maintain physical, electronic and procedural safeguards to maintain the confidentiality of the personal information of our clients. Appropriate measures are taken to ensure that access is available only to you and those individuals who need to know that information in order to provide you service.